

Your Name: _____

- Complete a separate questionnaire for each individual, one answer per question.

1. I plan to begin taking money from my investments in . . .

- A. 1 year or less
- B. 1-2 years
- C. 3-5 years
- D. 6-10 years
- E. 11-15 years
- F. More than 15 years

2. As I withdraw money from these investments, I plan to spend it over a period of . . .

- A. 2 years or less
- B. 3-5 years
- C. 6-10 years
- D. 11-15 years
- E. More than 15 years

3. When making a long-term investment, I plan to keep the money invested for . . .

- A. 1-2 years
- B. 3-4 years
- C. 5-6 years
- D. 7-8 years
- E. More than 8 years

4. From September 2008 through November 2008, stocks lost more than 31% of their value.

If I owned a stock investment that lost about 31% of its value in three months, I would . . . (If you owned stocks during this period, please select the answer that matches your actions at that time.)

- A. Sell all of the remaining investment
- B. Sell some of the remaining investment
- C. Hold on to the investment and sell nothing
- D. Buy more of the investment

5. Generally, I prefer an investment with little or no ups or downs in value, and I am willing to accept the lower returns these investments may make.

- A. I strongly disagree
- B. I disagree
- C. I somewhat agree
- D. I agree
- E. I strongly agree

6. When the market goes down, I tend to sell some of my riskier investments and put the money in safer investments.

- A. I strongly disagree
- B. I disagree
- C. I somewhat agree
- D. I agree
- E. I strongly agree

7. Based only on a brief conversation with a friend, coworker, or relative, I would invest in a mutual fund, stock or other investment.

- A. I strongly disagree
- B. I disagree
- C. I somewhat agree
- D. I agree
- E. I strongly agree

8. From September 2008 through October 2008, bonds lost nearly 4% of their value. If I owned a bond investment that lost almost 4% of its value in two months, I would . . . (If you owned bonds during this period, please select the answer that matches your actions at that time.)

- A. Sell all of the remaining investment
- B Sell some of the remaining investment
- C. Hold on to the investment and sell nothing
- D. Buy more of the investment

9. The chart to the right shows the highest one-year loss and one-year gain on three different hypothetical investments of \$10,000. Given the potential gain or loss in any one year, I would invest my money in ...

- A. Investment A
- B. Investment B
- C. Investment C



10. My current and future income sources (such as salary, Social Security, pension) are . . .

- A. Very unstable
- B. Unstable
- C. Somewhat stable
- D. Stable
- E. Very stable

11. When it comes to investing in stock or bond mutual funds (or individual stocks or bonds), I would describe myself as ...

- A. Very inexperienced
- B. Somewhat inexperienced
- C. Somewhat experienced
- D. Experienced
- E. Very experienced

I have answered all questions as accurately as possible and will notify Portfolio Medics if my financial circumstances or risk tolerance changes in the future. Over time, your goals and financial situation may change. It's important to discuss any changes, as your original investment objective may need to be re-evaluated.

Client Signature: _____

Date Completed: _____ (Internal use: _____)